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nuer the rapernon record	· ·		Application Number	10/054,241
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filed On	January 22, 2002	
			First Named Inventor	John K. Walton EC
		er initial filing)	Group Art Unit	2182 JAN 2
			Examiner Name	4 A. Elamin Technology
Total Number of Pages in This Submi		ission 21	Attorney Docket Numb	THEO OZOALIC
		ENCL	OSURES (chec	k all that apply)
Fee Transmittal For	m		ment Papers Application)	After Allowance Communication to Group
Fee Attache	ed	Drawin	g(s)	Appeal Communication to Board of Appeals and Interferences
Amendment / Reply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final				Proprietary Information
Affidavits/declaration(s)		Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund Status Letter Other Enclosure(s) (please identify below): PTO-1449 Form with 1 reference and Return-receipted postcard		Status Letter
Extension of Time Request				
Express Abandonment Request				Retum-receipted postcard
Information Disclosure Statement		CD, Number of CD(s)		
Certified Copy of Priority Document(s)		Remarks		
Response to Missing Parts/ Incomplete Application				
Response to Missing Parts under 37 CFR 1.52 or 1.53		In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 50-0845 for the cost of such extension.		
	SIGNAT		LICANT, ATTORNEY, O	
m	Richard M.			25,800
dividual name	Daly, Crowley & Mofford, LLP			
gnature				
Date	January 14, 2003			
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ereby certify that this co	rrespondence is being Commissioner for Pat	deposited with t	he United States Postal Ser n, DC 20231 on this date:	vice with sufficient postage as first class mail in January 14, 2003
· · · · · · · · · · · · · · · · · · ·				January 14, 2005
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PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

// 25-03 E. Halv

Applicant(s):

John K. Walton et al.

Application No.:

10/054,241

Filing Date:

January 22, 2002

Entitled:

DATA STORAGE SYSTEM

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EMC2-078AUS

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Technology Center 2100

1-14-03 Date of Signature By: Jamus Dount

Group Art Unit: 2182

Examiner: A. Elamin

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

It is desired to cite for the record in this application the enclosed documents listed on the attached copy of PTO Form #1449. The paragraph(s) marked below are applicable to this Information Disclosure Statement.

[X] (1) The enclosed Information Disclosure Statement is being filed: within three months of the filing date; or within three months of the entry of the national stage of the above-identified application; or before the mailing of a first Office Action on the merits; or before the

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Filing Date: January 22, 2002

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mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. Accordingly, Applicant(s) believes that no fee or statement is required.

[] (2) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by the fee set forth in § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e)(1) PURSUANT TO 37 C.F.R. § 1.97(c)

[] (3) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(1). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e)(2) PURSUANT TO 37 C.F.R. § 1.97(c)

[] (4) Pursuant to 37 C.F.R. § 1.97(c), the enclosed Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by a statement under 37 C.F.R. § 1.97(e)(2). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and,

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to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(1)

[] (5) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application mailed not more than three months prior to the filing of the accompanying Information Disclosure Statement.

PETITION UNDER 37 C.F.R. § 1.97(d) WITH A STATEMENT UNDER 37 C.F.R. § 1.97(e)(2)

[] (6) Pursuant to 37 C.F.R. § 1.97(d), Applicant(s) hereby petition the Assistant Commissioner to consider the attached Information Disclosure Statement. Applicant(s) state that the issue fee has not been paid and that a statement under 37 C.F.R. § 1.97(e) is provided herein, along with the petition fee required under 37 C.F.R. § 1.17(i). The undersigned hereby states that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a

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Attorney Docket No.: EMC2-078AUS

foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual having a duty of disclosure as set forth in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy Rule 98(a) (3) even if in a foreign language, since the few terms of relevance therein are deemed of universal cognizance. However, Applicant(s) does not necessarily adopt the position reflected by that report.

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The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 500845.

Respectfully submitted,

DALY, CROWLEY & MOFFORD, LLP

Date: _____

Bv:

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